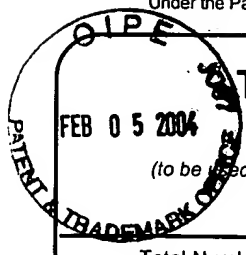


4 02.09.04

PTO/SB/21 (08-03) (AW 10/2003)

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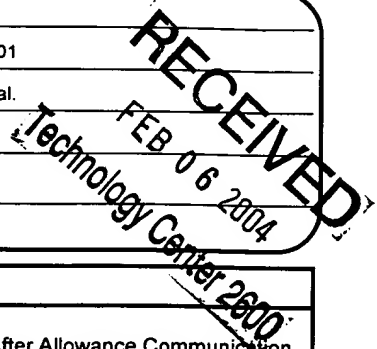
TRANSMITTAL FORM

(to be used for all correspondence after initial filing)

FEB 09 2004

Application Number	09/965,966
Filing Date	September 28, 2001
First Named Inventor	Sawako Usuki, et al.
Art Unit	2684
Examiner Name	Nay Aung Maung
Attorney Docket No.	YAO-4347US

Total Number of Pages in This Submission



ENCLOSURES (Check all that apply)

- ☐ Fee Transmittal Form
 - ☐ Fee Attached
- ☐ Amendment/Reply
 - ☐ After Final
 - ☐ Affidavits/Declaration(s)
- ☐ Extension of Time Request
- ☐ Express Abandonment Request
- ☒ Information Disclosure Statement
- ☐ Certified Copy of Priority Document(s)
- ☐ Response to Missing Parts/Incomplete Application
 - ☐ Response to Missing Parts under 37 CFR 1.52 or 1.53

- ☐ Drawing(s)
- ☐ Licensing-related Papers
- ☐ Petition
- ☐ Petition to Convert to a Provisional Application
- ☐ Power of Attorney, Revocation, Change of Correspondence Address
- ☐ Terminal Disclaimer
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- ☐ After Allowance Communication to Group
- ☐ Appeal Communication to Board of Appeals and Interferences
- ☐ Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)
- ☐ Proprietary Information
- ☐ Status Letter
- ☒ Other Enclosure(s) (please identify below):
PTO-1449 & 4 References
Return Receipt Postcard

Remarks:

SIGNATURE OF APPLICANT, ATTORNEY OR AGENT

Firm or Individual	Daniel N. Calder	Registration No. (Attorney/Agent)	27,424
Signature	<i>Daniel N. Calder</i>		
Date	February 3, 2004		

CERTIFICATE OF TRANSMISSION / MAILING

I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on this date:

February 3, 2004

Name (Print/Type)	Vickie Jones
Signature	<i>Vickie Jones</i>
Date	February 3, 2004

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

RECEIVED
PATENT
FEB 06 2004
Technology Center 2600

Appln. No: 09/965,966
Applicant: Sawako Usuki, et al.
Filed: September 28, 2001
Title: ELECTROMAGNETIC TRANSDUCER AND PORTABLE COMMUNICATION
DEVICE
TC/A.U.: 2684
Examiner: Nay Aung Maung

SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

Pursuant to 37 C.F.R. §§ 1.97 and 1.98 and to the duty of disclosure set forth in 37 C.F.R. § 1.56, the Examiner in charge of the above-identified application is requested to consider and make of record the references listed on the PTO 1449 (RP) submitted herewith. A copy of each of the listed references is also enclosed.

Although the information submitted herewith may be "material" to the Examiner's consideration of the subject application, this submission is not intended to constitute an admission that such information is "prior art" as to the claimed invention.

In accordance with 37 C.F.R. § 1.97(g), the filing of this Information Disclosure Statement shall not be construed to mean that a search has been made.

Reference WO 99/39843 is not in the English language, but an English abstract thereof is attached to the copy of the reference submitted herewith.

The enclosed references were cited the Chinese Office Action dated November 7, 2003. A copy of the Chinese Office Action is submitted herewith.

EP 0 999 722 A2 is provided as it corresponds to CN1253463 since we do not have an English language abstract of the reference.

This Information Disclosure Statement is being filed within three months of the filing date of the above-referenced application or before mailing of the first Official Action. No

first Official Action has yet been received and it is presumed that none has yet been mailed. No fee or statement is required. 37 C.F.R. § 1.97(b).

Respectfully submitted,



Daniel N. Calder, Reg. No. 27,424
Attorney for Applicants

DNC/vj

Enclosures: PTO Form 1449
(4) References
Transmittal Form

Dated: February 3, 2004

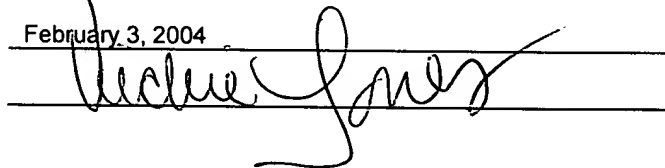
☒ P.O. Box 980
Valley Forge, PA 19482
(610) 407-0700

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Wilmington, DE 19899
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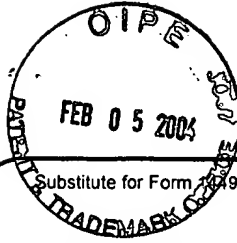
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February 3, 2004



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(AW 08/03)

Substitute for Form 1449A/PTO

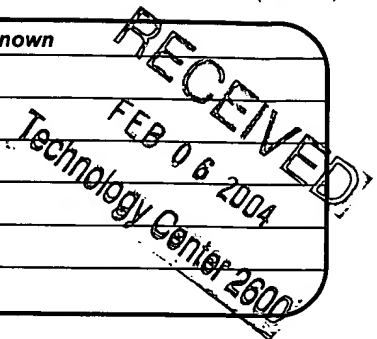
INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Use as many sheets as necessary)

Complete if Known

Application Number	09/965,966
Filing Date	September 28, 2001
First Named Inventor	Sawako Usuki, et al.
Art Unit	2684
Examiner Name	Nay Aung Maung
Attorney Docket No.	YAO-4347US

SHEET 1 of 2



U.S. PATENT DOCUMENTS

Examiner Initials*	Cite No. ¹	Document Number	Publication Date (MM-DD-YYYY)	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number - Kind Code ² (if known)			
		US-			
		US-			
		US-			
		US-			
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FOREIGN PATENT DOCUMENTS

Examiner Initials*	Cite No. ¹	Foreign Patent Document	Publication Date (MM-DD-YYYY)	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T ⁶
		Country Code ³ - Number ⁴ - Kind Code ⁵ (if known)				
		WO 99/39843 A1	08-12-1999	Namiki Seimitsu Houseki Kabushiki Kaisha		<input checked="" type="checkbox"/>
		EP 0 999 722 A2	05-10-2000	Matsushita Electric Industrial Co., Ltd.		<input checked="" type="checkbox"/>
		CN 1253463 A	05-17-2000			<input type="checkbox"/>
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						<input type="checkbox"/>
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						<input type="checkbox"/>

Examiner Signature		Date Considered	
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*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to Applicant.

¹Applicant's unique citation designation number (optional).

²See Kind Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04.

³Enter Office that issued the document, by the two-letter code (WIPO Standard St.3).

⁴For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document.

⁵Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible.

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(AW 08/03)

Substitute for Form 1449B/PSO

**INFORMATION DISCLOSURE
STATEMENT BY APPLICANT**

(Use as many sheets as necessary)

SHEET 2 of 2

Complete if Known

Application Number	09/965,966
Filing Date	September 28, 2001
First Named Inventor	Sawako Usuki, et al.
Art Unit	2684
Examiner Name	Nay Aung Maung
Attorney Docket No.	YAO-4347US

RECEIVED
FEB 06 2004
Technology Center 2600**NON-PATENT LITERATURE DOCUMENTS**

Examiner Initials*	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published	T ²
		Chinese Office Action dated November 7, 2003 (5 pages).	<input type="checkbox"/>
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Examiner
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